

Section 1

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
2204/A50

In Re Application Of: Cain et al.

Serial No.	Filing Date	Examiner	Group Art Unit
09/661,273	September 13, 2000	Not Yet Assigned	2152

Invention: System Device, and Method for Receiver Access Control in an Internet Television System



TO THE ~~ASSISTANT~~ COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
November 8, 2000  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

Request for Corrected Filing Receipt

Request for Recordal of Assignment and \$40 check

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
2204/A50

In Re Application Of: Cain et al.

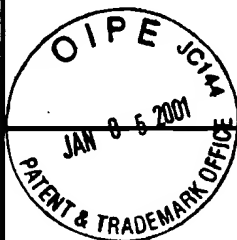
Serial No.  
09/661,273

Filing Date  
September 13, 2000

Examiner  
Not Yet Assigned

Group Art Unit  
2152

Invention: System, Device, and Method for Receiver Access Control in an Internet Television System



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

☒ Utility application filing fee \$690.00

☐ Design application filing fee \_\_\_\_\_

☒ Total number of independent claims = 6 \$234.00

☒ Total number of claims = 55 \$630.00

☐ Multiple dependent claims \_\_\_\_\_

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$130.00

☐ Petition and fee for filing by other than all the inventors or a person not the invention \_\_\_\_\_

☐ Fee for processing an application filed with a non-English language specification \_\_\_\_\_

☐ Fee for processing and retention of application \_\_\_\_\_

Total completion of application fees \$1,684.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month    ☐ Two months    ☐ Three months    ☐ Four months    ☐ Five months

from: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

Total time extension fees \_\_\_\_\_

Total fees due \$1,684.00

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
2204/A50

In Re Application Of: Cain et al.

Serial No.  
09/661,273

Filing Date  
September 13, 2000

Examiner  
Not Yet Assigned

Group Art Unit  
2152

Invention: System, Device, and Method for Receiver Access Control in an Internet Television System

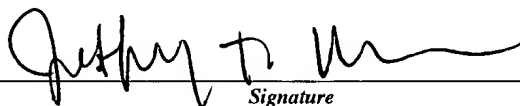


TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of \$1,684 is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 19-4972  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 19-4972  
A duplicate copy of this sheet is enclosed.

  
Signature

Jeffrey T. Klayman, Reg. No. 39,250  
BROMBERG & SUNSTEIN LLP  
125 Summer Street  
Boston, MA 02110  
(617) 443-9292

Dated: January 3, 2001



02101

CC:

PATENT, TRADEMARK OFFICE

I certify that this document and fee is being deposited on 1/3/01 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

  
Signature of Person Mailing Correspondence

Jeffrey T. Klayman

Typed or Printed Name of Person Mailing Correspondence

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Cain et al.

Atty Docket: 2204/A50

Serial No: 09/661,273

Date: January 3, 2001

Filed: September 13, 2000

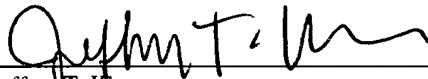
Invention: SYSTEM, DEVICE, AND METHOD FOR RECEIVER ACCESS CONTROL IN  
AN INTERNET TELEVISION SYSTEM



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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited on January 3, 2001 with the United States Postal Service as first class mail in an envelope addressed to Box Missing Parts, Commissioner for Patents, Washington, DC 20231.

  
Jeffrey T. Klayman

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Application Processing Division  
Customer Correction Branch  
Commissioner for Patents  
Washington, DC 20231

REQUEST FOR CORRECTED FILING RECEIPT

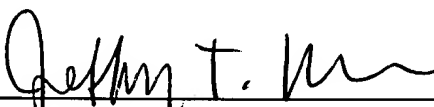
Dear Sir:

Applicant notes a typographical error in the title of the application, as shown on the attached filing receipt. The correction should be as follows:

Please insert -SYSTEM- after "TELEVISION"

A copy of the filing receipt with the requested change is enclosed. Applicant kindly requests that the filing receipt be amended accordingly.

Respectfully submitted,

  
Jeffrey T. Klayman  
Registration No. 39,250  
BROMBERG & SUNSTEIN LLP  
125 Summer Street  
Boston, MA 02110  
(617) 443-9292

RECEIVED

NOV 15 2000



UNITED STATES PATENT AND TRADEMARK OFFICE

BROMBERG & SUNSTEIN

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/661,273	09/13/2000	Bradley Cain	2204/A50

Jeffrey T Klayman  
Bromberg & Sunstein LLP  
125 Summer Street  
Boston, MA 02110



FORMALITIES LETTER



\*OC000000005539033\*

Date Mailed: 11/08/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$768.  
#630 ■ ~~\$612~~ for 34 total claims over 20.  
#234 ■ ~~\$156~~ for 2 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

- The balance due by applicant is ~~\$1588.~~ \$1684.00

A copy of this notice MUST be returned with the reply.

*Arnette Cowan*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/09/2001 RWDNDAF1 00000038 194972 09661273  
02 FC:101 710.00 BP  
03 FC:105 130.00 BP  
04 FC:102 240.00 BP  
05 FC:103 604.00 BP  
26.00 CH



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/661,273	09/13/2000	2152	0	2204/A50	4	54	5

Jeffrey T Klayman  
Bromberg & Sunstein LLP  
125 Summer Street  
Boston, MA 02110



DOCKETED FILING RECEIPT



\*OC000000005539032\*

Date Mailed: 11/08/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Bradley Cain, Cambridge, MA ;  
Thomas P. Hardjono, Winchester, MA ;

## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 11/07/2000

## Title

System, device, and method for receiver access control in an internet television

## Preliminary Class

709

↑  
insert "system"

Data entry by : COWAN, ANNETTE

Team : OIPE

Date: 11/08/2000

